

100-575892

100-575892

Customer No. 22,852  
Attorney Docket No. 05788.0391

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
<b>Andrea ROMANO et al.</b>	)	
	)	Group Art Unit: <b>Not Yet Assigned</b>
Application No.: Not Yet Assigned	)	
	)	Examiner: <b>Not Yet Assigned</b>
Filed: <b>March 29, 2006</b>	)	
	)	
National Stage of International Application No.	)	
<b>PCT/EP2003/010856</b> under 35 U.S.C. 371	)	
for, <b>PHASE-CONTROL IN AN EXTERNAL-</b>	)	
<b>CAVITY TUNEABLE LASER</b>	)	

**MAIL STOP PCT**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO/SB/08 and cited in the international search report. With the exception of the U.S. patents and publications, a copy of each listed document is attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO/SB/08 and indicate that they were considered by making an appropriate notation on this form. This Information Disclosure Statement is being filed with the above-referenced application.

Also enclosed is an English-language international search report from the European Patent Office in the PCT international application, from which this national phase U.S. application is derived.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 29, 2006

By: 

Ernest F. Chapman  
Reg. No. 25,961

Enclosures  
EFC/FPD/sci

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

Application Number	Not Yet Available
Filing Date	March 29, 2006
First Named Inventor	Andrea ROMANO et al.
Art Unit	Not Yet Available
Examiner Name	Not Yet Available
Attorney Docket Number	05788.0391

## U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
/P.S./		US-6,526,071 B1	02-25-2003	Zorabedian et al.	
/P.S./		US-6,366,592 B1	04-02-2002	Flanders	
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/P.S./		US-2003/0007526	01-09-2003	Pontis et al.	
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/P.S./		US-2003/0142702	07-31-2003	Pontis et al.	
/P.S./		US-2003/0012239	01-16-2003	Daiber et al.	

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>3</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
/P.S./		GB 2 357 589	06-27-2001	Tatarkova et al.		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>3</sup>
/P.S./		PATEL et al., "Frequency Locking of a Tunable Liquid-Crystal Filter", Journal of Applied Physics, Vol. 71, No. 5, pp. 2464-2466, (1992).	

Examiner Signature	/Patrick Stafford/	Date Considered	02/08/2008
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.